Deadlines and Milestones

<table>
<thead>
<tr>
<th>Milestone</th>
<th>Dates (subject to change)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Round 1 - Applications available in OGMS</td>
<td>February 27, 2020</td>
</tr>
<tr>
<td>Round 1 - Applications due in OGMS</td>
<td>April 9, 2020</td>
</tr>
<tr>
<td>Round 2 - Applications available in OGMS</td>
<td>July 23, 2020</td>
</tr>
<tr>
<td>Round 2 - Applications due in OGMS</td>
<td>August 27, 2020</td>
</tr>
<tr>
<td>Funding begins</td>
<td>July 1, 2020</td>
</tr>
</tbody>
</table>

Grant Contacts

Program Administration Questions
Becky Wood  
Program Administrator, Workforce Education  
bwood@sbctc.edu  
360-704-1004

Policy Oversight Questions
Carolyn McKinnon  
Policy Associate, Workforce Education  
cmathinnon@sbctc.edu  
360-704-3903

Contracting Questions
Michele Rockwell  
Contracts Specialist  
mrockwell@sbctc.edu  
360-704-4343

OGMS, OBIS, & Invoicing Questions
Kari Kauffman  
Program Assistant  
kauffman@sbctc.edu  
360-704-1021

The Washington State Board for Community and Technical Colleges reserves the right to make changes to this document due to, but not limited to, federal, state, or local legislation or policy changes.
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Acronyms Used In This Application
PCSC – Private Career School or College
WRT – Worker Retraining Program
SBCTC – State Board for Community and Technical Colleges
SAP – Satisfactory Academic Progress
FTES – Full-Time Equivalent Student
OGMS – Online Grant Management System
OBIS – Online Budget Invoicing System

Overview
The Washington Worker Retraining (WRT) Program plays a major role in Washington State’s economic development by providing funding to eligible dislocated and unemployed workers for training or retraining that will assist them in regaining employment.

The State General Fund provides the funding for the WRT Program. By statute (RCW 28C.04.390), the WRT Program provides access to training for dislocated and unemployed workers and includes access to vocational training provided by eligible private career schools and colleges (PCSCs). The WRT Program is administered by the State Board for Community and Technical Colleges (SBCTC).

WRT funds flow from the State General Fund through the SBCTC. SBCTC contracts with eligible PCSCs that are selected through a competitive process to provide instruction to WRT students. PCSCs are responsible for screening students and documenting their eligibility for WRT funds. Eligible students are provided tuition assistance.

Applicant Guidelines

Who May Apply
Private career schools and colleges (PCSCs) that are licensed in the state of Washington may apply for Worker Retraining funds. PCSCs must have a physical presence in the state to apply for these funds.

How Does the Provider Apply
Applications for WRT are only accepted through the Online Grant Management System (OGMS). OGMS is a web-based application system maintained by SBCTC.

It is necessary to have a username and password to access OGMS.

Access information for PCSCs that have used OGMS previously: Users obtain the PCSC user name and password from their PCSC’s OGMS Security Administrator. A list of OGMS security administrators can be found online.
Access information for PCSCs that have not used OGMS previously: If the PCSC has not participated in WRT before or has not used OGMS, contact Kari Kauffman.

**Round 1 Applications**
Submit completed grant applications to the SBCTC through OGMS no later than April 9, 2020 at 11:55 p.m. SBCTC staff is available for assistance until 4:00 p.m. on April 9, 2020. *Grant funds will not pay for costs incurred prior to the grant approval date and/or before July 1, 2020.*

**Round 2 Applications**
Submit completed grant applications to the SBCTC through OGMS no later than August 27, 2020 at 11:55 p.m. SBCTC staff is available for assistance until 4:00 p.m. on August 27, 2020. *Grant funds will not pay for costs incurred prior to the grant approval date, which will likely be mid-to late-September 2020.*

**Disclaimer**
SBCTC reserves the right to refrain from granting funding to any or all applicants. Additionally, SBCTC reserves the right to add additional grant requirements to applicants meeting minimum criteria to receive funds but that are deemed to be higher risk grantees. Additional requirements may include, but are not limited to, additional reporting requirements or additional monitoring to assess the applicant’s ability to adhere to grant requirements. Any additional requirements will be outlined for individual applicants prior to applicants accepting any resulting grant funding.

**Application Sections**
The following information details required information in the application. It is organized as a step-by-step guide for completing each section of the application in the order in which it appears in OGMS.

**Tab 1 – Applicant Information**
1. Contract Contact: Please enter contact information for the staff person responsible for completing the application and who should be contacted with questions or information about the status of the application.
2. Secondary Contact (optional): Enter the information for a secondary contact.
3. Check the box when the information is complete and click Save.

**Tab 2 – Specific Assurances**
- Not applicable for this application.

**Tab 3 – Contents (Sections 1-3)**

**Section 1 - Minimum Eligibility for Consideration**
This section addresses the minimum eligibility criteria that a PCSC must meet in order to be considered for funding.

1A. Campus Location(s). Enter the physical address of all campuses where you intend to serve
WRT students.

1B. Completion Rate. The completion rate for all programs must be .40 (40%) or greater.

1B.a. Successful Completers. Enter the number of students who successfully completed their program in fiscal year 2019 (July 1, 2018 - June 30, 2019)

1B.b. All Exiters. Enter the number of students who exited during fiscal year 2019. Please see the description of All Exiters in the Minimum Criteria section above.

1B.c. Rate (Successful Completers ÷ All Exiters). Enter the rate as a decimal. For example, enter 80% as .8.

1B.d. Narrative box. If the rate is not .40 (40%) or greater, please explain why.

1C. Employment Placements. The rate must be .40 (40%) or greater.

1C.a. Successful Completers who are employed. Enter the number of Successful Completers who are employed.

1C.b. Successful Completers. Enter the number of students who successfully completed their program in fiscal year 2019 (July 1, 2018 - June 30, 2019). This is the same number as in 1B.a.

1C.c. Rate (Successful Completers who are employed ÷ Successful Completers). Enter the rate as a decimal.

1C.d. Narrative Box. If the rate is not .40 (40%) or greater, please explain why.

1D. Technology Requirements. Check the box to certify your school meets technology requirements.

1E. Current License. Check the box to certify you have attached a current license from the appropriate licensing body which has approved your school to provide training. To attach a document, click on the Attachment Tab in OGMS.

1F. Program Mix and Billing Records Report Tool. Check the box after you have uploaded a completed tool.

- The tool consists of several separate tabs. A link to download a blank template can be found in the Grant Info section, located on the upper right of the OGMS screen.

- For the purpose of the application, the program mix identifies the programs the PSCS will offer to eligible students. The program mix must include the program title as it appears in the most current school catalog, CIP Code for each identified program, the total number of clock hours, total number of credits (if credits are awarded) and the type of credits (if credits are awarded). The program mix will not be considered complete without these sections filled in.

1G. School Catalog. Check the box after you have uploaded the current school catalog to OGMS. The catalog must contain: hours and/or credits, and the tuition/fees/rates for each program found in the program mix; a published refund policy; satisfactory academic progress and
attendance policy. Large files may need to be split into separate files in order to upload.

1H. Legal business name: Provide the legal business name of your school. Any awarded contracts will be written to this business name.

1I. Doing Business As name (DBA): Provide your school's DBA. Payments for any awarded contracts will be made out to this DBA.

1J. Notification of Business Change: Check the box to certify that the school will provide notice to SBCTC prior to any legal name or business change within the life of the contract.

- Check the box when the information is complete and click Save.

Section 2 - Funding Request

This section captures the PCSC’s funding request and the justification for the request. Please see the Funding section and Calculating How Many FTES to Request section for a definition of FTES and useful examples.

2A. FTES request. This is the PCSC’s planned level of enrollment of eligible WRT students for the state fiscal year 2021 (July 1, 2020 - June 30, 2021). Enter a whole number. It is important to note that an FTES does not directly translate to head count. Please carefully review the definition of an FTES prior to completing this part of the application.

- 2A.a. New FTES. Enter the number of FTES that will be new in state fiscal year 2021 (not crossovers).

- 2A.b. Crossover FTES (Only applicable for PCSCs that hold a WRT contract for the current contract year, state fiscal year 2020). If currently serving WRT students, enter the number of FTES (if any) that will be crossovers from state fiscal year 2020. Crossover FTES are comprised of students who received WRT funding in fiscal year 2020, will be rescreened for eligibility (see the Program Guidelines for more information on crossover students), and will be receiving WRT funds in state fiscal year 2021.

- 2A.c. Total FTES request. Enter the total FTES requested for fiscal year 2021.

2B. Provide a narrative justification for the FTES request. SBCTC staff will review this justification carefully for details that demonstrate a thorough understanding of the WRT program and its funding structure and limitations.

2C. Total funding requested. One FTES is $3,816. Calculate the total funding requested by multiplying $3,816 by the number of FTES requested.

2D. Is the PCSC accredited? Check the appropriate box.

2E. If yes, please provide the full name of the accrediting organization (not an acronym).

- Check the box when the information is complete and click Save.

Section 3 - PCSC Administrative Requirements
Please review the fiscal year 2020-21 PCSC WRT Program Guidelines for detailed compliance information before completing this portion of the application.

3A. Check the box to certify that all appropriate PCSC staff have reviewed fiscal year 2021 PCSC WRT Program Guidelines.

3B. Check the boxes to certify that student files will be distinguishable from non-WRT students and that the PCSC will maintain WRT student files for six years.

3C. Describe how WRT student files will be managed. SBCTC staff will review this answer to determine whether there are satisfactory processes in place.

3D. Provide the title(s) (name is optional) of the staff responsible for screening students for eligibility.

3E. Describe the process used to determine student eligibility (i.e. interview, assessment questionnaire, etc.). SBCTC staff will review this answer to determine whether there are satisfactory processes in place.

3F. Check each box to attest that procedures will be followed for all eligible students.
   • Check the box to certify WRT students will complete, sign and date the provided attestation document (Appendix A, Program Guidelines).
   • Check the box to certify WRT students are provided with career or occupational outlook in the local labor market for the field to be studied prior to enrolling.
   • Check the box to certify WRT students are provided with current completion and employment rates for students attending the PCSC prior to enrolling.

3G. Describe how WRT students’ satisfactory academic progress and attendance status will be monitored and confirmed prior to the PCSC submitting billings.

3H. Describe how actual attendance is documented for WRT students.

3I. Describe how program completion will be tracked and documented for WRT students.

3J. Describe how employment placements will be tracked and documented for WRT students. Be sure to address the method to track employment search/placement and the frequency of these methods, as well as the duration of the tracking progress (how long after graduation the student is tracked for placement).

3K. PCSCs must maintain a master WRT file for each fiscal year in contract, as described in the Program Guidelines. Please describe what documents will be maintained in the master file. For more information see the Master WRT File Requirements section of the Program Guidelines.

3L. A ledger of accounts for each student must be maintained. The ledger must clearly identify the amounts and the dates of all charges and all payments to each individual student’s account.
   • Check the box to certify that the PCSC maintains a ledger of accounts for each student that meets WRT standards. For more information on WRT accounting standards, see the Program Guidelines.
3M. Describe how WRT payments will be noted and clearly identifiable on the student ledger.

3N. Check the boxes to certify you have reviewed the Program Mix & Billing Records Report tool and that you agree to submit the complete report by the quarterly deadlines, including the final report.

- Check the box when the information is complete and click Save.

Section 4 - Budget

- Enter the total amount of funding you are requesting in the ‘Tuition’ box.
- Check the box when the information is complete and click Save.

Tab 5 - Submit

- Once all sections of the application are complete, enter your name, title and phone number. Click the Submit button.

Funding

In compliance with Revised Code of Washington (RCW) 28C.04.390, PCSCs may only use WRT funds for tuition assistance.

Eligible PCSCs may apply for funding based on the number of full-time equivalent students (FTES) they will be able to serve in fiscal year 2021 (July 1, 2020 – June 30, 2021). Funding is based on FTES. One FTES equals $3,816. Funding is prorated for students attending programs that have more or fewer credits or hours than one FTES (see the definition of FTES below). The maximum funding allowed per student per program is two FTES ($7,632). Please see the Calculating How Many FTES to Request section below for more information.

1 Full Time Equivalent Student (FTES) =

- 45 quarter credits, or
- 30 semester/trimester credits, or
- 900 clock hours (if school does not award credits)

Calculating How Many FTES to Request

To calculate how many FTES to request, first determine how much of a FTES a single student in each of the offered programs would generate. FTES are not the same as head count. Several students attending a PCSC’s program could constitute a single FTES. For example, a program comprised of 30 quarter credits is 2/3 of an FTES (30 cr. ÷ 45 cr. = .667, or 2/3). An eligible WRT student attending that program would only constitute 2/3 of an FTES.

Please note: Minimum criteria for a PCSC to be considered eligible to provide WRT funds to students include the ability to serve at least two FTES across all programs.

To determine how much of an FTES a single student in each of the offered programs generates,
divide the number of credits (or hours, if credits not awarded) in the program by the number of credits (or hours, if credits not awarded) in one FTES. This equals how much of a single FTES is generated by a student enrolling and completing this program. The table and examples below illustrate how this works.

<table>
<thead>
<tr>
<th>Credit Type</th>
<th>FTE Calculation Formula</th>
</tr>
</thead>
<tbody>
<tr>
<td>If quarter credits are awarded:</td>
<td>[\text{total # of quarter credits} \div 45 = # \text{ of FTES}]</td>
</tr>
<tr>
<td>If semester credits are awarded:</td>
<td>[\text{total # of semester credits} \div 30 = # \text{ of FTES}]</td>
</tr>
<tr>
<td>If credits are not awarded:</td>
<td>[\text{total # of clock hours} \div 900 = # \text{ of FTES}]</td>
</tr>
</tbody>
</table>

**Example 1 – Quarter Credits Awarded**

Welding Technology program awards 72 quarter credits total.

72 quarter credits ÷ 45 quarter credits in an FTES = 1.6 FTES per student completing program.

**Example 2 – Semester Credits Awarded**

Medical Assisting program awards 32 semester credits total.

32 semester credits ÷ 30 semester credits in an FTES = 1.07 FTES per student completing program.

**Example 3 – Hourly, No Credits Awarded**

Truck Driving program is 160 hours.

160 hours ÷ 900 hours in an FTES = .18 FTES per student completing program.

Please note, WRT only allows for a maximum of two FTES per student per program. Programs that are longer than 90 quarter credits, 60 semester credits or 1800 clock hours cannot award more than two FTES worth of WRT tuition funding to a single student.

When calculating the FTES request, also consider the start and end dates of the programs. Programs that start later in the contract year (contract year is July 1, 2020 - June 30, 2021) will not be able to generate as many FTES as those programs that start earlier in the contract year. For example, WRT students enrolled in a semester-based medical assisting program and start in July will generate more FTES than WRT students who start the same program in January.

**Minimum Criteria**

There are certain minimum criteria that must be met before a PCSC’s application can be considered for funding. Not meeting the minimum requirements as described below may result in the application being deemed ineligible. PCSCs interested in applying should review the following criteria carefully before proceeding. Please note: Applications will not be reviewed prior to the submission deadline.

1. Washington Location and Licensure: The PCSC must have a training facility in Washington
State and be licensed or authorized to provide training by the appropriate regulating state agency. Most schools are licensed by the Workforce Training and Education Coordinating Board, though cosmetology schools receive their license through the Washington State Department of Licensing. A current valid license or authorization letter must be provided as part of the application package.

2. Minimum Completion Rate: The PCSC must monitor, measure, and document the completion rate of the entire student body. The completion rate must be 40 percent or greater.

How to calculate the completion rate: The rate is calculated over the most recently completed fiscal year. For this application, the most recently completed state fiscal year is 2020 (July 1, 2019 – June 30, 2020). The formula for the rate is:

$$\frac{\text{Successful Completers}}{\text{All Exiters}} = \text{Completion Rate}$$

Successful Completers are those students who completed and/or graduated from their program during the reporting period (state fiscal year 2019 for this application).

All Exiters means any student who is no longer enrolled at the PCSC by the end of reporting period. This includes those who successfully completed their program or those who were expelled, withdrew due to active military, incarceration, death or illness, or who dropped and had not reenrolled by the end of state fiscal year 2019.

3. Minimum Employment Placement Rate: The PCSC must monitor, measure, and document the employment placement rate of the entire student body. The employment placement rate must be 40 percent or greater.

The rate is calculated over the most recently completed fiscal year. For this application, the most recently completed state fiscal year is 2019 (July 1, 2018 – June 30, 2019). The formula for the rate is:

$$\frac{\text{Successful Completers who are employed}}{\text{Successful Completers}} = \text{Employment Rate}$$

“Successful Completers” is the same number for both the completion rate and the employment rate calculations.

Please note: SBCTC performs data checks on employment with the Washington State Employment Security Department (ESD).

4. Technology Requirements: Applications and invoicing are done online and most communication is done by email. The PCSC must have regular access to the internet, check email regularly, and have access to Excel-compatible software.

5. Properly completed application: The complete application must be submitted by the deadline, including all required documents uploaded into OGMS.

6. Volume: The PCSC must be able to serve the minimum threshold of eligible Worker Retraining students (two FTES total).

Application Evaluation

Contracts for WRT in PCSCs are awarded on a competitive basis. Applying for funding does not
guarantee that a PCSC will receive funding.

Applications for WRT funding are reviewed by SBCTC staff. Each application is reviewed to determine if it meets minimum criteria. Those applications that do not meet minimum criteria may not be eligible for funding.

An eligible PCSC’s application will be evaluated based on:

- A clear understanding of WRT program requirements, as evidenced in the application responses.
- PCSC’s previous performance in the WRT program, if the PCSC has been in contract previously. This includes, but is not limited to: compliance with program requirements; responsiveness to administrative requests and deadlines; past funding levels and ability to meet the contracted FTES target.
- Completion and employment outcomes for students, as reported in the application.

## Contracting

A contract will be issued by SBCTC after the PCSC’s application has been approved and the PCSC has completed any required modifications following the pre-contract meeting, if applicable. PCSCs that would like to view contract terms prior to submitting an application may contact Michele Rockwell.

### Pre-Contract Requirements

Awards to PCSCs who have not participated in WRT in the past two fiscal years are contingent upon participation in a pre-contract meeting with SBCTC staff. During the meeting, the PCSC will have the opportunity to discuss the WRT program policies and requirements with SBCTC program and fiscal staff. The PCSC must demonstrate an understanding of WRT. Additionally, SBCTC staff will review documentation and practices to ensure that the PCSC can adequately comply with WRT requirements. If it is determined following the pre-contract meeting that the PCSC does not have the required processes in place, a letter citing the deficiencies and the required corrections will be issued. The school may apply again the following fiscal year.

Pre-contract meetings must be conducted and all issues or queries resolved by the PCSC before a contract can be offered. Details regarding the meeting are provided below.

### Scheduling the meeting

SBCTC staff will contact PCSCs that are being considered for funding and are new to the WRT program to schedule a pre-contract meeting.

### About the meeting

The meeting will last approximately two hours and likely will occur by telephone. Staff from the PSC who will screen students for eligibility, document attendance and student progress, and prepare billings to SBCTC must be present during the meeting. SBCTC staff at the meeting will include program and fiscal staff.
**Prior to the meeting**
The PCSC must email answers and/or documentation to address each of the ten items below to SBCTC at workforce@sbctc.edu at least two business days prior to the meeting. Label each document/answer with the corresponding item number. The sample documents and answers listed are a guide. PCSCs may have other adequate documents.

<table>
<thead>
<tr>
<th>Item #</th>
<th>Question/Discussion Point</th>
<th>Sample Documents</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>How do you track student enrollments?</td>
<td>Screenshots or print outs from database or spreadsheet. Black out any social security numbers before sending this.</td>
</tr>
<tr>
<td>2</td>
<td>How do you track student withdrawals and completions?</td>
<td>Screenshots or print outs from database or spreadsheet. Black out any social security numbers before sending this.</td>
</tr>
<tr>
<td>3</td>
<td>How do you track student placements?</td>
<td>Screenshots or print outs from database or spreadsheet. Black out any social security numbers before sending this.</td>
</tr>
<tr>
<td>4</td>
<td>Who (position title) will be responsible for ensuring student eligibility and obtaining required documents?</td>
<td>Identify position title(s); ensure those individuals are present at the meeting to discuss processes.</td>
</tr>
<tr>
<td>5</td>
<td>How will you provide students with your completion rate, placement rate, and local job market information?</td>
<td>Copy of document(s) you will provide to students containing this information.</td>
</tr>
<tr>
<td>6</td>
<td>How do you plan to retain WRT records for the required period of time?</td>
<td>Ensure appropriate individuals are present at the meeting to discuss process.</td>
</tr>
<tr>
<td>7</td>
<td>How do you track and monitor student attendance?</td>
<td>Screenshots or print outs from tracking database or spreadsheet. Black out any social security numbers before sending this.</td>
</tr>
<tr>
<td>8</td>
<td>How do you track and monitor student academic progress?</td>
<td>Screenshots or print outs from tracking database or spreadsheet. Black out any social security numbers before sending this.</td>
</tr>
<tr>
<td>9</td>
<td>How will you plan to bill SBCTC for Worker Retraining students?</td>
<td>Please provide examples of backup documentation the school will have on file to support billings. Black out any social security numbers before sending this.</td>
</tr>
<tr>
<td>10</td>
<td>How do you track student charges (tuition, books, and supplies) and any/all payments?</td>
<td>Screenshots or print outs of your student ledger. Black out any social security numbers before sending this.</td>
</tr>
</tbody>
</table>

**During the Meeting**
The meeting will be a conversation about the PCSC’s processes for each of the above items and documents provided. SBCTC may make suggestions or ask for improvements of processes or documents during the meeting.
**After the Meeting**

SBCTC will further review the processes and documents. SBCTC will send notice to the PCSC within one week that contains any required modifications. If the PCSC has major or multiple items that require modification, the PCSC may not be allowed to participate in the Worker Retraining program during the upcoming fiscal year. However, the PCSC may apply again the following year.

**Required Modifications**

The PCSC must submit all required modifications to SBCTC within two weeks of receipt of feedback. The final date will be communicated via email at the time SBCTC provides feedback. If the PCSC does not submit modifications by that time, the PCSC will not be eligible to participate in the Worker Retraining program for the upcoming fiscal year.

**Frequently Asked Questions**

Q1) I already started an application in OGMS and saved it. When I log in again, I can’t find it.

A1) Be sure to click on the fiscal year for which you are applying. When you log in, OGMS will show you your grant applications for the current fiscal year. Since you apply for the next fiscal year’s grant while we are still in the current fiscal year, you’ll have to manually click into the next fiscal year to find your saved application(s). Note: We are currently in fiscal year 2020 (FY20), but you will be applying for fiscal year 2021 (FY21) funds.

Q2) I’m not sure how many FTES to request on the application.

A2) First, calculate how many FTES a single student is for each of your programs. See Calculating Worker Retraining FTES for help. Second, estimate the number of students you expect to serve during the fiscal year that may be eligible for WRT. Third, if you have more than one program, estimate how many of the anticipated WRT students will enroll in each program. Combine this information to help you determine how many FTES to request.

Q3) I have a student who is receiving unemployment benefits from another state. The student wants to attend my school in Washington. Does the student qualify for WRT?

A3) No. As the Student Eligibility section states, a dislocated worker must be eligible for or recently exhausted Washington State unemployment insurance benefits.

Q4) I have a student who was self-employed in another state but is now a Washington resident. Does the student qualify for WRT?

A4) No. As the Student Eligibility section states, formerly self-employed student must have been self-employed in Washington State.
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Washington State Board for Community and Technical Colleges